



2021 PMAR Conference Speaker Biographies

Susan Agbenoto, CIPM

joined Opus Investment Management in 2005 as a Portfolio Analyst for mortgages and is currently the Manager of Investment Performance. She is responsible for portfolio performance and attribution, as well as portfolio compliance. Prior to joining Opus, Susan worked in the Claims division of The Hanover Insurance Group, Inc. (“THG”), the parent company of Opus.



Frances Barney, CFA

is the Head of Global Risk Solutions within BNY Mellon Asset Servicing. Frances has twenty-four years of investment industry experience, most of it in performance and risk analytics services. Prior to joining BNY Mellon in 2006, Frances worked at State Street Corporation, where she oversaw one of three regional offices supporting the delivery of performance analytics for its U.S. custody clients and managed the U.S. performance outsourcing service for investment managers and consultants. Prior to that, she worked at Deutsche Bank Trust Company Americas, where she was head of performance analytics. Frances started her career at Bankers Trust, where she held a variety of product and risk management roles in the global markets and investor services divisions. Frances received a B.A. from Yale University, and an M.B.A. from The Wharton School of the University of Pennsylvania. Frances is also an active volunteer with the CFA Institute for the Certificate in Investment Performance Measurement (“CIPM”) program.



Michael Beck, CIPM, CFP®, CAIA

is a Performance Measurement Vice President in Glenmede's Philadelphia office. Along with other members of the team, he provides performance measurement reporting, risk metrics and helps maintain the firm's GIPS® compliance. He is also responsible for implementing new performance measurement software solutions for the firm. Prior to joining the Performance Measurement group at Glenmede Trust Company in 2016, Mr. Beck was employed by the National Netherlands Investment Partners, as a senior analyst. Mr. Beck was responsible for GIPS compliance and performance measurement projects at NNIP. He has also held various performance measurement roles at both SEI Investments and American Savings Bank. Currently Michael is an active volunteer with the CFA Institute in the GIPS area. A resident of Philadelphia, Pennsylvania, Mr. Beck received a B.S. in finance from Susquehanna University and a Masters of Business Administration with distinction from Hawaii Pacific University.



Steve Campisi, CFA

has been a contributor to the performance industry for over twenty years, drawing on his decades of experience as an institutional portfolio manager for endowments, foundations and pension plans. He provides consulting and educational services to money management and analytics firms in the areas of asset allocation, risk, portfolio construction and performance evaluation through The Pensar Group. He also continues to serve as a mentor to portfolio managers across the industry, offering guidance and training.

Rich Carson

joined Cambridge Associates in 2012 and is responsible for the firm's private investments data assets and related best practices, applications, and strategic partnerships. In this role, Rich oversees the firm's private investment benchmarks which represent performance data from over 8,000 private fund partnerships and their over 80,000 underlying investments. He is a frequent speaker at conferences addressing private investments performance and best practices and has authored several research notes on the topic. Prior to joining Cambridge Associates, Rich was a partner at strategy consulting firm Monitor Group (now Deloitte Monitor) and related private equity firms Monitor Clipper Partners and Monitor Capital. As a Managing Director at Monitor Capital, he led the development of new private equity partnerships in emerging markets. Rich first joined Monitor Group in 1986 and spent his first ten years leading the Milan and London offices. Rich received his MBA from Harvard Business School, and his BA from Texas A&M University.



Dan diBartolomeo

is President and founder of Northfield Information Services, Inc. Based in Boston since 1986, Northfield develops quantitative models of financial markets. He sits on boards of numerous industry organizations include IAQF and CQA, and is a director and past president of the Boston Economic Club. His publication record includes over forty books, book chapters and research journal articles. In addition, Dan spent eight years as a Visiting Professor at Brunel University, and has been admitted as an expert witness in litigation matters regarding investment management practices and derivatives in both U.S. federal and state courts. He became editor in chief of the Journal of Asset Management at the start of 2019.



Frank Farnesi

joined STP Investments in 2019 as a Senior Vice President of Operations. He has over 20 years' experience spanning roles in front and back office firms like State Street Corporation, Morgan Stanley, and SEI Investments. Prior to joining STP, Frank ran the Global Enterprise Business Solutions team for State Street with primary responsibility of data delivery and management, operational controls, and product management. This network of experiences created an ability to partner business knowledge with data and technology to successfully meet clients' current and future needs. Frank has a Master's degree in Organizational Leadership from Cabrini College, a BSED from West Chester University, and a certification in Project Management from Pennsylvania State University.

Lisa Gentry

has served as in-house compliance for Coho Partners, Ltd. since 2017. She held the role of Deputy Chief Compliance Officer for Coho from September 2019 to April 2021, and is currently the Chief Compliance Officer. Lisa has 14 years of experience in the financial industry and is a partner of the firm. Prior to joining Coho, Lisa served as a compliance officer for Macquarie Investment Management. She previously held a senior position with Peirce Park Group. Lisa graduated from the University of Denver with a Bachelor of Arts from the School of Business. She has earned the National Regulatory Services (NRS) Investment Adviser Certified Compliance Professional (IACCP®) designation. Lisa is a member of the National Society of Compliance Professionals (NSCP).



Claude Giguère

is president and co-founder of Robust Technologies, a company offering software solutions specialized in performance measurement, performance attribution, benchmark customization, risk analytics and GIPS® composites. Since 2006, prestigious investment management firms have relied on Robust Technologies to handle their performance reporting requirements. Considered as the best-of-class solution, Robust Technologies is renowned for its expertise and calculation methodologies that provide accurate and insightful results for the most complex and challenging portfolios. Mr. Giguère has 34 years of experience in developing financial software applications including 24 years specializing in performance and attribution. His expertise in performance and attribution is recognized worldwide. He presents regularly at conferences in Canada, USA and Europe. He is also member of the advisory board of The Journal of Investment Performance Measurement. He assisted, as a consultant to the CFA Institute, in the development of the CIPM program (Certified in Investment Performance Measurement), and co-authored the CIPM Level II Reading #7 on Fixed Income Attribution and collaborated with the Paris-based reflection group on fixed income attribution (“G.R.A.P.”). Prior to co-founding Robust Technologies, Mr. Giguère worked at Financial Models Company (FMC) for 13 years acting mainly as the product manager for their SYLVAN performance and attribution software.



Timothy W. Jager

Principal at Meradia, has 30 years of investment management technology experience and a proven history of delivering strategic, transformative projects on time and within budget. These experiences include client reporting transformation, post-acquisition integrations, global system conversions, custom design and development and third-party integrations. Tim is client-focused and excels in aligning business and technology teams to a common purpose. He is an ambitious, innovative and collaborative senior leader with a gift for maximizing performance and inspiring colleagues. Prior to joining Meradia, Tim served as an Associate Director for Macquarie Group where he was responsible for client-facing business technology, business analysis and development, and delivery of core systems and functionality. Prior to Macquarie served as the VP of Investment Systems for Lincoln Financial and as lead architect and project manager for Delaware Investments.



Rick Lacaille

is executive vice president and senior investment advisor. He also leads and coordinates the environmental, social and governance (ESG) initiatives at State Street. In his current role, he provides enterprise leadership for our ESG program to ensure a well-coordinated and optimized approach to our ESG policies, products, initiatives and thought leadership. Prior to his current role, Rick was the global chief investment officer (CIO) at State Street Global Advisors from 2008 to April, 2021. He was also the global head of Quantitative Active Equities and European CIO. Before joining State Street Global Advisors in 2000, he held various roles in Quantitative Fund Management and Research at Gartmore Investment Management, including the head of Quantitative Research and Structured Equities. Rick has a Bachelor of Science (Honors) degree in operational research from Lancaster University and Master of Science degree in econometrics from London Guildhall University. Rick is a member of the MSCI Advisory Council, the FTSE Russell ESG Advisory and Sustainable Investment Committee and is Chair of the Asset Management Committee of the Investment Association. He is a writer and broadcaster on investment issues and speaks frequently at industry conferences.



John M. Longo, PhD, CFA

Dr. Longo is a Professor of Finance & Economics at Rutgers Business School and Chief Investment Officer and Portfolio Manager for Beacon Trust, a registered investment advisor with approximately \$4 billion under management. At Beacon, he is part of a team that manages a series of mutual funds and separately managed accounts. Beacon is a subsidiary of Provident Financial Services (NYSE: PFS), founded in 1839. Dr. Longo is also a Visiting Professor of Finance at EMBA Global Asia – the joint international Executive MBA Program of Columbia University, London Business School, and The University of Hong Kong. He is the bestselling author of The Art of Investing: Lessons from History’s Greatest Traders, published by TheGreatCourses.com. (The book peaked as the 26th bestselling book out of roughly 200,000 titles on Audible.com) and Buffett’s Tips: A Guide to Financial Literacy and Life (Wiley, 2020). He has won a total of four awards for teaching and research excellence at Rutgers Business School. Dr. Longo has appeared on CNBC, Bloomberg TV, Bloomberg Radio, ABC News, Fox Business, BBC World, wsj.com (video), GreatInvestors.tv, The (Ron) Insana Quotient, and several other programs. He has been quoted in The Wall Street Journal, Barron’s, The New York Times, Los Angeles Times, Thomson Reuters, Dow Jones MarketWatch, U.S. News & World Report, CNBC.com, The Harvard Crimson, The Chicago Tribune, Yahoo! Finance, Business Insider, The Star-Ledger, Hedge Fund Alert, FundFire, and dozens of other periodicals. He led Rutgers students to a personal visit with Warren Buffett in Omaha, Nebraska on four separate occasions. Previously, he was a Vice President at Merrill Lynch & Co., Inc. and served on the Advisory Board of Bloomberg’s educational subsidiary, The Bloomberg Institute.



John Matsikas, CFA, CIPM

has 27 years of investment industry experience, 23 of which have been in an Investment Performance Measurement role. He currently leads the Investment Performance & Portfolio Analytics Reporting Group of Voya Investment Management, responsible for the reporting of total return, performance attribution, and returns-based statistics for the external client business. Previous to that, he was a Business Systems Analyst for various front, middle, & back-office functions. John holds a B.S in Finance from the University of Connecticut, an M.S. in Finance from Rensselaer Polytechnic Institute.

Darren Mooney

is a Senior Vice President at Greyline. Darren has extensive experience advising various types of investment managers, including hedge fund, private equity, venture capital, real estate, private credit, quantitative, and other wealth managers on a range of regulatory compliance matters. Darren regularly guides clients through the SEC registration process, directly supports clients' live SEC exams, and has also served as an SEC-appointed independent compliance consultant following an SEC enforcement action. Before joining Greyline, Darren served as Deputy Chief Compliance Officer of PFM Health Sciences where he held primary responsibility for the compliance program. Prior to that, Darren spent five years providing compliance consulting services at Cordium and ACA Compliance Group, where he led Cordium's San Francisco office and west coast operations. Darren's other experience includes serving as Deputy Chief Compliance Officer and Associate Counsel at F-Squared Investments in Boston, where he directly supported the compliance program during the investigation and subsequent enforcement regarding historical advertising practices. Darren has a Bachelor of Science in Economics from the University of Delaware and a Juris Doctor from Suffolk University Law School, and he is a member of the Massachusetts Bar.

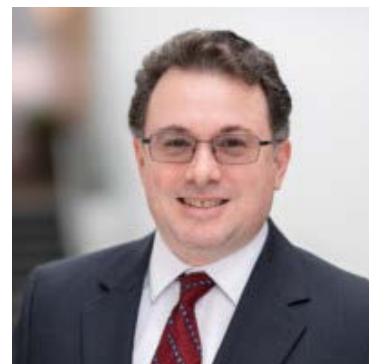


Drew Peterson, CFA, CIPM

Mr. Peterson is an Analyst at Appleton Partners, Inc, in Boston, Massachusetts. He has credit research and trading duties on the firm's Fixed Income desk, and is responsible for the firm's performance measurement, attribution, and GIPS compliance. Education: B.A., Middlebury College.

Michael L. Pfaff, CIPM

is a Performance Analytics Lead at the Vanguard Group, and is responsible for the continued development and thought leadership around performance analytics and reporting for institutional clients. Mr. Pfaff has more than 25 years of experience in financial services at various firms, and has held various roles in accounting, compliance, operations and performance. In addition, he currently volunteers as a *Journal of Performance Measurement* Advisory Board Member, and has served as "Face of the CIPM Program" Testimonial for CFA Institute. He received the CIPM in 2008 and graduated from Rowan University with a bachelor's degree in accounting.



Ashley Reeves, CIPM

is a Senior Vice President and heads The Spaulding Group's Global Investment Performance Standards (GIPS®) verification practice. She has over 20 years of industry experience, with 16 directly involved in GIPS standards verifications. She was most recently with Total Performance Solutions LLC, where she provided a variety of performance-related services including systems selection and implementation, operational reviews, and GIPS standards consulting and support. Previously, Ashley spent more than seven years working at Vincent Performance Services LLC, where she provided consulting and verification services to clients in North America, Europe, Asia, and Australia. Ashley also has extensive experience working for an asset manager: Western Asset Management Company, one of the world's leading fixed income managers. She was the Supervisor of Performance where she managed the team that was responsible for, on a global basis, the performance measurement function, including the calculation, review, and analysis of performance and risk measures, performance reporting, and GIPS standards compliance. Ashley began her career at Deloitte, providing audit and verification services to Southern Californian clients. She has presented at GIPS standards workshops, and has spoken on the GIPS standards and performance-related topics at various conferences throughout the United States. She has been an advisor to CFA Institute serving on the CIPM Examination Review Panel intermittently since 2017. In addition, she serves on the GIPS Standards Interpretations Subcommittee. Ashley received her B.A. in Business Economics from the University of California, Santa Barbara.



Diane Robertson

is a vice president of T. Rowe Price Group and is the global head of Performance and Analysis within Global Investment Operations. Diane has responsibility for the strategic oversight and ongoing operation of the firm's performance returns, attribution, statistics, and quantitative activity, including operational delivery to the investment organization and its global product and client reporting teams. She joined T. Rowe Price in 2016. Prior to joining the firm, Diane was managing director at Legg Mason Asset Management where she ran an operations and technology organization servicing performance, analytics and technology business management for the firm, on behalf of its affiliated asset managers. Diane is a Lean Six Sigma green belt. She earned a B.S. in finance, with a minor in organization psychology from Alfred University. Diane was a member of the CFA Institute's GIPS Interpretations Committee and is an industry speaker on GIPS and performance measurement.



John D. Simpson, CIPM

has been with The Spaulding Group since 2005, where he regularly conducts GIPS and non-GIPS verifications, as well as GIPS examinations. He also teaches classes on performance measurement, attribution, risk, and GIPS, and conducts software searches, operations, and technology related consulting assignments. John is a regular speaker at industry conferences and society programs. He is the co-author of The Spaulding Group's Guide to the Performance Presentation Standards (TSG Publishing, 2012) and has written numerous articles. John crafted and conducted The Spaulding Group's CIPM prep courses (no longer offered). John is currently a member of the United States Investment Performance Committee's After-Tax Working Group, working on recommendations for firms that present after-tax performance results. Prior to joining The Spaulding Group, John spent 20 years with Integrated Decision Systems, a New York-based software vendor that provided portfolio accounting and performance measurement solutions to the investment industry. He was the Product Manager for their GIM Performance and CALiPER investment performance & analytics systems. John was responsible for the enhancement "road maps" for the performance systems with the primary responsibility of aligning the product's goals with customer needs and market demands. He also acted as chief business analyst in specifying performance analytics and portfolio accounting functionality. John holds a BS in Applied Mathematics from UCLA, where he was a member of their 1987 NCAA national championship volleyball team.



Alex Shafran, CFA

Senior Vice President, is director of performance and client reporting at Cohen & Steers. He has more than 20 years of industry experience. Prior to joining the firm in 2017, Mr. Shafran was with AllianceBernstein, where he oversaw post-investment portfolio analytics across all products in support of client servicing, marketing, risk and portfolio management. Previously, he was part of the global portfolio strategy group on Bernstein's sell-side research team, and held project and operations management positions within Bernstein's fixed income department and was part of the investment planning team within Bernstein's wealth management division. Mr. Shafran is a regular speaker at the Performance & Risk industry conferences. He holds an MA from New York University and is based in New York.



Jill Shaw

began her career at global investment firm Cambridge Associates (CA) in the private investment performance group in 2001. She went on to become a manager of that group, overseeing the team responsible for creating more than 175 quarterly private investment performance reports and creating the CA quarterly benchmarks. Jill designed and implemented the current version of the firm's quarterly private investments performance report for clients. After attending business school, she returned to CA in 2007 as an Investment Director focused on private investments. As Director of Private Investments, she generates new private investment manager ideas and builds customized portfolios for a wide array of non-profit institutions and private net worth families ranging in size from \$60 million to \$4.5 billion. In 2012, she began working with institutions managing their portfolios on a discretionary (OCIO) basis. She was promoted to Managing Director in 2014. She now has more than 18 years of investment experience and has managed institutional portfolios on a discretionary basis for more than eight years. Jill is a member of Cambridge's Private Growth Ratings Committee and leads the firm's efforts in developing best practices for benchmarking private investments. She published papers on benchmarking private investments in 2014 and 2018.



David D. Spaulding, DPS, CIPM

has been a thought leader in our industry for more than 30 years, and has an in-depth experience with every major asset class and just about any circumstance. He is arguably the #1 authority on performance measurement. Dave has over 40 years' experience in management and technology, including over 30 years in the financial sector. He has conducted training classes for our clients, the CFA Institute, as well as local societies for 20 years. He has written four books, and been co-author and/or editor of five more. He has also written numerous articles for various industry publications. Dave has served on a variety of industry committees and working groups. He earned a BA in Mathematics from Temple University, an MS in Systems Management from the University of Southern California, an MBA in Finance from the University of Baltimore, and a doctorate in Finance and International Economics from Pace University.

