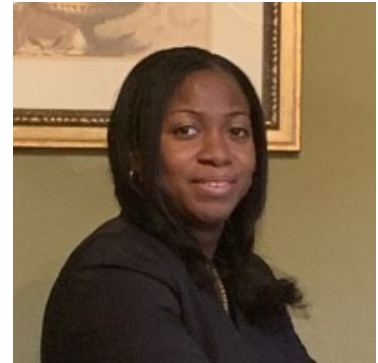




## PMAR XXI Conference Speaker Biographies

### **Susan Agbenoto, CIPM**

joined Opus Investment Management in 2003 as a Portfolio Analyst for mortgages and is currently the Director of Investment Performance. She is responsible for portfolio performance, attribution and compliance while overseeing GIPS compliance for the firm. Prior to joining Opus, Susan worked in the Claims division of The Hanover Insurance Group, Inc. ("THG"), the parent company of Opus.



### **Tricia Bailey, CIPM**

is the head of the GIPS Team at T. Rowe Price. Prior to T. Rowe Price, Tricia managed the Performance Team at Waddell & Reed. She has 25+ years of investment performance measurement experience, and is one of the first group to obtain the CIPM certificate.

### **Jennifer Barnette, CIPM**

has deep knowledge and substantial experience in all aspects of investment performance measurement and reporting, with particular expertise in the Global Investment Performance Standards (GIPS®). As a member of TSG's verification practice, she conducts GIPS and non-GIPS reviews, helping asset managers and asset owners have confidence in their reported returns. Jennifer partners with her clients to tackle various challenges they face, including creating meaningful composites, designing policies and procedures, and identifying solutions across a wide range of front, middle and back office scenarios. Prior to joining TSG, Jennifer was immersed in performance measurement, portfolio analytics, and client reporting at a high net worth investment advisor, and an affiliated institutional asset manager where she was a member of the management committee. She led the teams responsible for measuring, managing, and reporting performance and analytics across diverse asset classes, and for GIPS compliance. She also has extensive experience in investment operations, including managing the administration of investment systems at several firms. She started her career as an equity research analyst, where she published numerous reports for Wall Street investment banks. She has been asked to speak on topics related to performance, client reporting, and investment operations at various industry conferences, and is an active volunteer for CFA Institute supporting the CIPM Program. She is a graduate of Old Dominion University, and has held FINRA Series 7 and 63 licenses.





### **Frances Barney, CFA**

is the Head of Global Risk Solutions within BNY Mellon Asset Servicing. Frances has twenty-four years of investment industry experience, most of it in performance and risk analytics services. Prior to joining BNY Mellon in 2006, Frances worked at State Street Corporation, where she oversaw one of three regional offices supporting the delivery of performance analytics for its U.S. custody clients and managed the U.S. performance outsourcing service for investment managers and consultants. Prior to that, she worked at Deutsche Bank Trust Company Americas, where she was head of performance analytics. Frances started her career at Bankers Trust, where she held a variety of product and risk management roles in the global markets and investor services divisions. Frances received a B.A. from Yale University, and an M.B.A. from The Wharton School of the University of Pennsylvania. Frances is also an active volunteer with the CFA Institute for the Certificate in Investment Performance Measurement (“CIPM”) program.

### **Michael Beck, CIPM, CAIA, CFP®**

is a Performance Measurement Vice President in Glenmede’s Philadelphia office. Along with other members of the team, he provides performance measurement reporting and risk metrics and helps maintain the firm’s Global Investment Performance Standards (GIPS) compliance. He is also responsible for implementing new performance measurement software solutions for the firm. Prior to joining Glenmede, Mr. Beck was employed by the National Netherlands Investment Partners as a Senior Analyst. In this role, he was responsible for GIPS compliance and performance measurement projects. He has also held various performance measurement roles at both SEI Investments and American Savings Bank. Mr. Beck received a Bachelor of Science degree in finance from Susquehanna University and a Master of Business Administration degree, cum laude, from Hawaii Pacific University. He also holds a CPA certificate from the state of Delaware. Mr. Beck is a member of the Global Investment Performance Standards Interpretations Subcommittee which acts as a forum for understanding technical issues that investment management firms face when complying with the GIPS standards.



### **Stephen Campisi, CFA**

provides research, consulting services, and post-credential education in the areas of asset allocation, risk analysis, portfolio construction, and performance evaluation. Drawing on over two decades of experience as a portfolio manager for private, philanthropic and pension clients, he has developed and published innovations and insights into all phases of the investment process, several of which have become part of the body of knowledge and are employed at significant investment and analytics firms. His most recent research as Managing Director at The Pensar Group has focused on a holistic view of risk throughout the investment process and within a decision-based framework. This is the capstone to his pioneering work in true goals-based investing with monetary measures of risk and performance evaluation.



### **Matt Caulfield**

As Chief Revenue Officer, Matt Caulfield oversees all aspects of client engagement, including marketing, business development, relationship management and client services. Matt brings over 30 years of experience in strategic sales and relationship management within the asset management and asset servicing business. Prior to joining Archer, Matt led teams focused on the investment manager market for J.P. Morgan's Corporate & Investment Bank, BNY Mellon Asset Servicing, and PNC Global Investment Servicing (formerly PFPC). In these roles, he was responsible for promoting middle and back-office solutions in multiple segments of the market. Matt joined Archer in 2014 to help the firm expand its footprint within the industry through strategic sales and relationship management. His consultative approach to creating solutions enables Archer's clients to quickly launch products, expand distribution and increase efficiency. Under Matt's leadership, the team has built and maintained long-standing partnerships with its clients. A thought leader at the forefront of the industry, Matt frequently sits on industry panels and regularly blogs on Archer's website. He is on the Board of Governors for the Money Management Institute. Matt received a B.S. in Finance from Manhattan College and earned an MBA at Villanova University.



### **Jeffrey Clough**

is a Senior Vice President and Product Specialist for Direct Indexing. In this role, he works with the firm's advisor and wirehouse distribution partners, with a focus on custom index-based solutions. Jeffrey began his career with MFS Investment Management and has over 20 years of investment industry experience. He holds a Bachelor of Arts in communications from the University of Massachusetts Amherst and is FINRA Series 6, 7, 24, 26, 31, 63 and 65 licensed.

### **Harald Collet**

is the CEO and Co-Founder of Alkymi, the first complete business system to manage unstructured data and turn it into actionable information. Built on cutting edge AI and utilizing the latest large language models, Alkymi empowers financial services companies to automate high-value data workflows in private markets, client onboarding, and other operations. Harald has over 22 years of business-building experience, a timespan in which he has brought over 10 new products to market across various roles and entities and led the acquisition of 2 software companies as general manager at Bloomberg LP. He is a First Lieutenant in the Danish Army and has a B.A. from Yale University.



### **Matt Deatherage, CFA, CIPM**

joined Longs Peak in the fall of 2019 and has over nine years of experience working specifically with the GIPS standards and investment performance. Six years as a verifier has made Matt a seasoned GIPS and performance consultant. He is exceptional at client service and leading clients through their GIPS and investment performance engagements on behalf of Longs Peak Advisory Services.



### **Dan diBartolomeo**

is President and founder of Northfield Information Services, Inc. Based in Boston since 1986, Northfield develops quantitative models of financial markets. He sits on boards of numerous industry organizations including IAQF and CQA, and is a director and past president of the Boston Economic Club. His publication record includes over forty books, book chapters and research journal articles. In addition, Dan spent eight years as a Visiting Professor at Brunel University, and has been admitted as an expert witness in litigation matters regarding investment management practices and derivatives in both U.S. federal and state courts. He became editor in chief of the *Journal of Asset Management* at the start of 2019.



### **Mark Elliott**

is Director & Head of Performance and Attribution Solutions at SS&C Technologies and joined the firm in 2000. He currently has global responsibility for the Sylvan Performance and Attribution Suite. Prior to joining SS&C, Mark held various positions in corporate finance and investment analysis. Mr. Elliott holds an MBA from the University of Toronto and a Bachelor of Commerce from Carleton University.

### **Patrick Fowler**

is the President and Chief Operating Officer for TSG, and has been with the company since 1998. He is also the Managing Editor of *The Journal of Performance Measurement*.<sup>®</sup> Patrick oversees the firm's research efforts, training programs, conferences, the Performance Measurement Forum, as well as other operational areas. Patrick is a 1998 graduate of Cook College, Rutgers University, as well as a mini-MBA certificate holder in the Business Essentials Program from Rutgers Business School. Patrick received the 2011 Dietz Award for excellence in performance measurement literature for his article with Stephen Campisi, "Getting to the Heart of Investing – Financial Stewardship that Meets Client Objectives."



### **Claude Giguère**

is president and co-founder of Robust Technologies, a company offering software solutions specialized in performance measurement, performance attribution, benchmark customization, risk analytics and GIPS composites. Since 2006, prestigious investment management firms have relied on Robust Technologies to handle their performance reporting requirements. Considered as the best-of-class solution, Robust Technologies is renowned for its expertise and calculation methodologies that provide accurate and insightful results for the most complex and challenging portfolios. Mr. Giguère has 34 years of experience in developing financial software applications including 24 years specializing in performance and attribution. His expertise in performance and attribution is recognized worldwide. He presents regularly at conferences in Canada, USA and Europe. He is also member of the advisory board of *The Journal of Performance Measurement*. He assisted, as a consultant to the CFA Institute, in the development of the CIPM program (Certified in Investment Performance Measurement), and co-authored the CIPM Level II Reading #7 on Fixed Income Attribution and collaborated with the Paris-based reflection group on fixed income attribution ("G.R.A.P."). Prior to co-founding Robust Technologies, Mr. Giguère worked at Financial Models Company (FMC) for 13 years acting mainly as the product manager for their SYLVAN performance and attribution software.





### **Thayne Gould**

is a Director at Vigilant and is responsible for developing, testing, and monitoring compliance programs for investment advisers, broker-dealers, and registered investment companies. He has extensive knowledge and application of the Investment Advisors Act of 1940, Securities Act of 1933, and state securities laws. Thayne has over 25 years of investment management industry experience with firms such as T. Rowe Price and PNC Capital Advisors. His duties have included product management, marketing, and compliance functions, and he has been responsible for oversight of both broker-dealer and investment adviser activities for a \$1-trillion fund complex, 700-person marketing and distribution organization, and the activities of a \$50-billion institutional asset manager. He has significant experience with various SEC and state filings, supported examinations, and been point of contact for the Staff and other regulators. Thayne holds the FINRA Series 24 (General Securities Principal), Series 53 (Municipal Securities Principal), Series 7 (General Securities Representative), Series 6 (Investment Company and Variable Contracts Representative), Series 66 (Uniform Combined State Law), and Series 63 (Uniform Securities Agent State Law). Thayne earned a Bachelor of Science in Economics from Towson University and a Master of Business Administration from Loyola University Maryland.



### **Christine Iannacone, CIPM**

is an Investment Senior Vice President at Prudential Financial. Christine is the Head of Investment Performance Reporting and Analysis within the Chief Investment Office. She is responsible for performance analysis, client reporting, and marketing presentations for the investments of the general account and clients of Prudential Select Strategies LLC. Prior to joining Prudential, Christine was with Goldman Sachs Asset Management, where she was a Vice President in the Alternative Investments & Manager Selection Group. She managed the Performance Analytics and Client Reporting teams for the Hedge Fund Strategies Group. Previously, she worked in Fixed Income Investment Performance and Portfolio Administration where she managed the performance team. Prior to joining Goldman Sachs, she worked as a securities analyst at SEI Investments. Christine received a Bachelor of Science in Economics from The Pennsylvania State University and a Master of Business Administration with a concentration in Finance from LaSalle University. She is an active volunteer for the CFA Institute. Christine serves on the advisory board of *The Journal of Performance Measurement*.



### **Jamie Kingsley**

brings 28+ years of experience and expertise in the institutional real estate investment industry with focus in accounting and reporting, performance measurement, infrastructure development, and relationship management. She is a strategic leader with a creative and practical problem-solving approach and excellent communication, managerial, and mentoring skills resulting in highly effective teamwork. As a Director, Jamie directs and supports the development, content management and maintenance of the NCREIF PREA Reporting Standards, related communications, and other materials necessary for the NCREIF PREA Reporting Standards to fulfill its mission to facilitate investment decision-making for the institutional real estate investment industry. She is also responsible for data governance and attribution products for NCREIF. Contributing to the institutional real estate industry's creation and sharing of best practices is very important to Jamie. Before joining the NCREIF staff, she was an active member of NCREIF and served as past Chair (2011-2012) and Vice-Chair (2009-2010) of its Performance Measurement Committee. In addition, she served on the NCREIF PREA Reporting Standards Council from 2012 to 2014 and subsequently served on the NCREIF PREA Reporting Standards Board in 2015. Jamie is a Certified Public Accountant in the State of Texas and holds a degree in Accounting from Texas Tech University.



### **Matthew Liposky**

joined PRIM in 2013 and serves as PRIM's Chief Investment Operating Officer. Mr. Liposky is responsible for managing the PRIT Fund's financial activities, including investment performance reporting, investment accounting, operational due diligence, and investment manager onboarding and implementation. Prior to his position as CIOO, he served as Director of Investment Operations at PRIM. He has more than 15 years of experience in investment operations, and worked at Liberty Mutual Investment Group and Bank of New York Mellon before joining PRIM. Mr. Liposky earned a B.S. in Finance from Quinnipiac University.

### **Michael Margulis**

manages the broker-dealer performance reporting team at Ameriprise Financial, responsible for maintaining the infrastructure to support presentation of investment performance to approximately 10,000 financial advisors and millions of mostly retail clients. Prior to moving from California to Minnesota and joining Ameriprise in 2015, Michael was at LP Capital Advisors, a boutique private equity consulting firm, for nearly 11 years where he assisted institutional investors with sourcing, evaluating and monitoring their private equity investments. He began his career at Vestek, a fintech company, and also gained investment reporting experience at Laurel Management Company and securities lending experience at Barclays Global Investors. Michael holds an undergraduate degree in mathematics/economics from the University of California, Santa Barbara and MBA from the University of California, Davis. He also holds Series 7 and 24 FINRA licenses.



### **Maritza Matlosz**

is the Head of Information and Analysis within the Real Estate Risk, Research & Analytics department at MetLife Investment Management, which she joined in 2012. Ms. Matlosz has over 35 years of experience in real estate investments. She spent eight years at BlackRock, where she was the Director of Performance Reporting & Management for BlackRock's real estate funds. Prior to BlackRock, Maritza was Investment Operations Manager at Prudential Real Estate Investors, where she was responsible for firm-wide performance reporting and implementation of various system integration projects. Her previous experience also includes performance and accounting positions at ING Clarion and Schroder Real Estate Associates. She has been a volunteer with of the National Council of Real Estate Investment Fiduciaries (NCREIF) since 2001. In November 2017, she was elected to the NCREIF Board of Directors. In addition, she is a past Chair of the Information Management Committee and has been a past Chair and Vice Chair of the Performance Measurement Committee. She spent nine years serving as a Council member of the NCREIF PREA Reporting Standards Council, the organization that establishes and implements information standards for the real estate industry. She also served on the Real Estate Working Group and the Alternative Working Group for the Global Investment Performance Standards (GIPS) organization under the CFA Institute, helping to develop global performance standards for real estate investments. She has a BS degree in Accounting from Kean University and an MBA from Centenary College.

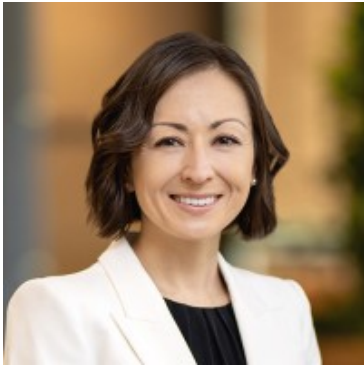


### **Diane Robertson**

is a vice president of T. Rowe Price Group, Inc. She is the global head of Investment Performance Analysis within Global Investment Operations. Since joining T. Rowe Price in 2016, she has led a strategic portfolio around innovative modernization and expansion of the firm's global performance, attribution, analytics, and quantitative services on behalf of the investment organization and its global product and client channels. Prior to joining the firm, Diane was managing director at Legg Mason Asset Management where she ran an operations and technology organization servicing performance, analytics, and technology business management for the firm, on behalf of its affiliated asset managers. Diane is a Lean Six Sigma green belt. Diane graduated from Alfred University with a B.S. in finance, and a minor in organization psychology. Diane was a member of the CFA Institute's GIPS Interpretations Committee and is an industry speaker on GIPS and performance measurement and risk. In 2022, Diane was one of two recipients of the Women in Performance & Risk Measurement Award by *The Journal of Performance Measurement*. She has strengths in building high performing diverse teams, leading high impact operations & technology transformation, cultivating authentic relationships, and delivering sustainable efficiencies. She enjoys spending time with family, swimming, cycling, traveling, and reading on the beach.







### **Christine Ayako Schleppegrell**

counsels asset managers on legal, regulatory, and compliance matters, focusing on advisers to private funds (private equity, hedge, venture capital, infrastructure, real estate, credit) and separately managed accounts. She spent several years in private practice and more recently at the U.S. Securities and Exchange Commission (SEC), including in leadership roles in the Division of Investment Management. While at the SEC, Christine led the Private Funds Branch during a time of landmark rulemaking impacting private fund advisers—she draws on this experience to advise on current and pending regulations and to guide clients through enforcement and examination proceedings.

### **Kathleen Seagle, CIPM**

is a Senior Verifier with 15 years of industry experience. Prior to joining TSG, Kathleen developed a portfolio analytics function for River Road Asset Management, an institutional investment management firm and affiliate of Affiliated Managers Group, Inc. She had the opportunity to join River Road shortly after its inception, where she established and supported its attribution, performance, ex-post risk, client, and executive reporting functions. She was responsible for process implementation, data integrity, projects, and chaired their GIPS Working Group. Kathleen was an advisory board member for *The Journal of Performance Measurement*, a member of The Performance Measurement Forum, and a past volunteer for the CFA Institute supporting the CIPM Program. Kathleen attended Purdue University and enjoys hiking and art.



### **Alex Shafran, CFA**

is Senior Vice President, director of performance and client reporting at Cohen & Steers. He has more than 20 years of industry experience. Prior to joining the firm in 2017, Mr. Shafran was with AllianceBernstein, where he oversaw post-investment portfolio analytics across all products in support of client servicing, marketing, risk and portfolio management. Previously, he was part of the global portfolio strategy group on Bernstein's sell-side research team, and held project and operations management positions within Bernstein's fixed income department and was part of the investment planning team within Bernstein's wealth management division. Mr. Shafran is a regular speaker at the Performance & Risk industry conferences. He holds an MA from New York University and is based in New York.



### **David Spaulding, DPS, CIPM**

has been a thought leader in our industry for more than 30 years, and has an in-depth experience with every major asset class and just about any circumstance. He is arguably the #1 authority on performance measurement. Dave has over 40 years' experience in management and technology, including over 30 years in the financial sector. He has conducted training classes for our clients, the CFA Institute, as well as local societies for 20 years. He has written four books, and been co-author and/or editor of five more. He has also written numerous articles for various industry publications. Dave has served on a variety of industry committees and working groups. He earned a BA in Mathematics from Temple University, an MS in Systems Management from the University of Southern California, an MBA in Finance from the University of Baltimore, and a doctorate in Finance and International Economics from Pace University.



### **Alicia Spencer**

brings her long-standing reputation with performance consulting to assist Meradia's clients to establish successful performance practices while solving GIPS and operational challenges. Alicia has over 19 years of functional and technical experience with performance measurement and the GIPS standards. A recognized industry expert, she has spoken extensively on GIPS standards, best practices for model performance, internal controls for marketing performance returns, preparing for an SEC examination, and performance system considerations. Alicia's knowledge of performance processes stems from her career both as a consultant and a practitioner.

### **Steven W. Stone**

is a securities lawyer who counsels clients on regulations governing broker-dealers, investment advisers and bank fiduciaries, and pooled investment vehicles. Head of the firm's financial institutions practice, Steve counsels most of the largest and most prominent US broker-dealers, investment banks, investment advisers, and mutual fund organizations. He regularly represents clients before the US Securities and Exchange Commission (SEC) and Financial Industry Regulatory Authority (FINRA), both in seeking regulatory relief and assisting clients in enforcement or examination matters.



### **Shankar Venkatraman, CFA**

heads the Investment, Performance and Client Reporting teams at American Century. Shankar joined American Century in 2020. Previously, he was with BNY Mellon for four years where he led the development of data and digital capabilities for the asset servicing division. Prior to that Shankar was at Citi for 16+ years where he was the global head of performance and risk analytics for its Custody and Fund Services/Investor Services business. Prior to this position, he managed technology, created business intelligence solutions, business process reengineering, etc., across the financial services value chain including Asset Management, Cash Management, Investment Banking, Sales & Trading and Research. Shankar is very active within the financial services industry. He is the vice chair of the Institutional Asset Management Committee at New York Society of Security Analysts and a regular speaker at Performance and Risk forums. Shankar has an MBA from Stern business school, New York University and is a CFA charter holder. He is also FRM certified by the GARP (Global Association of Risk Professionals).



### **Bradley Vincent-Barnes**

has been working in investment performance since 2012, and has previously worked across both Asset Managers and Pension Funds covering total fund performance, manager selection, as well as fixed income attribution. He joined Ortec finance in 2021 and is currently the Head of Client Servicing for the UK and Canada.